

Attorney Docket No. SHO-0021

Please type a plus sign in the box.



PTO/SB/01 (3-97)

Approved for use through 6/30/98. OMB 0651-0032
Patent and Trademark Office; US DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION	Attorney Docket No.	SHO-0021
	First Named Inventor	Takahiro ONISHI
	COMPLETE IF KNOWN	
	Application No.	
	Filing Date	October 31, 2003
	Group Art Unit	
<input checked="" type="checkbox"/> Declaration submitted with or initial filing	<input type="checkbox"/> Declaration submitted after initial filing	Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (only if one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

GAMING MACHINE

(Title of the Invention)

the specification of which

☐ is attached hereto

or

☒ was filed on **October 31, 2003**, as United States Application Number

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YY)	Priority Not claimed	Certified Copy Attached	
				YES	NO
2002-333147	JAPAN	11/18/2002		<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number (s)	Filing Date (MM/DD/YY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

Please type a plus sign in this box:



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DECLARATION - Utility Or Design Patent Application							
I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the matter provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.							
U.S Parent Application Number		PCT Parent Number		Parent Filing Date (MM/DD/YYYY)		Parent Patent Number (if applicable)	
<input type="checkbox"/> Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.							
As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: <input checked="" type="checkbox"/> Customer Number 23353 and <input checked="" type="checkbox"/> Registered practitioner(s) name/registration number listed below							
		<div style="border: 1px solid black; padding: 5px; display: inline-block;"> Place Customer Number Bar Code Label Here </div>					
Name		Registration No.		Name		Registration No.	
Richard D. Grauer		22,388		Peter J. Rashid		39,464	
David T. Nikaido		22,663		Kevin D. Rutherford		40,412	
Ronald P. Kananen		24,104		Glenn E. Forbis		40,610	
Ralph T. Rader		28,772		Lee Cheng		40,949	
Carl Schaukowitz		29,211		Kristin L. Murphy		41,212	
Michael D. Fishman		31,951		Robert S. Green		41,800	
Joseph V. Coppola, Sr.		33,373		James F. Kamp		41,882	
Michael B. Stewart		36,018		Brian K. Dutton		47,255	
Alexander D. Rabinovich		37,425		Shawn B. Cage		51,522	
<input type="checkbox"/> Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.							
Direct all correspondence to <input checked="" type="checkbox"/> Customer Number or Bar Code Label				23353		or <input type="checkbox"/> Correspondence Address below	
Name		Rader Fishman & Grauer PLLC					
Address		1233 20 th Street, N.W., Suite 501					
City, State, Zip		Washington, D.C. 20036					
Country		US		Telephone		202-955-3750	
				Fax		202-955-3751	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
Name of First Inventor				<input type="checkbox"/> A petition has been filed for this unsigned inventor.			
Given Name (first and middle [if any])				Family Name or Surname			
Takahiro				ONISHI			
Inventor's Signature		Takahiro Onishi				Dated	
						Feb. 26.2004	
Residence: City		Tokyo		State			
				Country		Japan	
Citizenship		Japan					
Post Office Address		c/o ARUZE Corp., 3-1-25 Ariake, Koto-ku					
City		Tokyo		State			
				Zip		135-0063	
Country		Japan					
<input checked="" type="checkbox"/> Additional inventors are being named on the 1 supplemental additional inventor(s) sheet(s) PTO/SB/02A attached hereto.							

Please type a plus sign in this box:



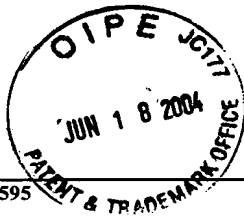
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Name of Second Inventor		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
<i>Hidetaka</i>				<i>YAMAMOTO</i>			
Inventor's Signature	<i>Hidetaka Yamamoto</i>					Dated	
						<i>Feb. 26, 2004</i>	
Residence: City	<i>Tokyo</i>	State		Country	<i>Japan</i>	Citizenship	<i>Japan</i>
Post Office Address	<i>c/o ARUZE Corp., 3-1-25 Ariake, Koto-ku</i>						
City	<i>Tokyo</i>	State		Zip	<i>135-0063</i>	Country	<i>Japan</i>
Name of Third Inventor		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
<i>Kazuaki</i>				<i>ABE</i>			
Inventor's Signature	<i>kazuaki abe</i>					Dated	
						<i>Feb. 26, 2004</i>	
Residence: City	<i>Tokyo</i>	State		Country	<i>Japan</i>	Citizenship	<i>Japan</i>
Post Office Address	<i>c/o ARUZE Corp., 3-1-25 Ariake, Koto-ku</i>						
City	<i>Tokyo</i>	State		Zip	<i>135-0063</i>	Country	<i>Japan</i>



Form PTO-1595
(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Takahiro ONISHI (02/26/04) Hidetaka YAMAMOTO
(02/26/04) Kazuaki ABE (02/26/04)

Additional name(s) of conveying party(ies)
attached?

☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 02/26/2004

2. Name and address of receiving party(ies)

Name: ARUZE CORP.

Internal Address:

Street Address:

3-1-25 Ariake, Koto-ku,

City: Tokyo

State: JAPAN

Zip: 135-0063

Additional name(s) &
address(es) attached:

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is:

A. Patent Application No.(s):

10/697,244

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address of party to whom correspondence
concerning document should be mailed:**

Name: RADER, FISHMAN & GRAUER PLLC

Internal Address: Atty. Dkt.: SHO-0021

Street Address:
1233 20th Street, N.W.
Suite 501

City:
Washington

State: DC Zip: 20036

**6. Total number of applications and
patents involved:**

1

7. Total fee (37 CFR 3.41)

\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

☐ Authorized to be charged to credit card
(Form 2038 enclosed)

8. Deposit account number:

18-0013

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert S. Green
Name of Person Signing


Signature

June 18, 2004
Date

Total number of pages including cover sheet, attachments, and documents: 3

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Takahiro ONISHI (02/26/04) Hidetaka YAMAMOTO
(02/26/04) Kazuaki ABE (02/26/04)Additional name(s) of conveying party(ies)
attached?☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 02/26/2004

2. Name and address of receiving party(ies)

Name: ARUZE CORP.

Internal Address:

Street Address:

3-1-25 Ariake, Koto-ku,

City: Tokyo

State: JAPAN

Zip: 135-0063

Additional name(s) &
address(es) attached:☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is:

A. Patent Application No.(s):

10/697,244

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: RADER, FISHMAN & GRAUER PLLC

Internal Address: Atty. Dkt.: SHO-0021

Street Address:

1233 20th Street, N.W.
Suite 501City:
Washington

State: DC Zip: 20036

6. Total number of applications and
patents involved:

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7. Total fee (37 CFR 3.41)

\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account☐ Authorized to be charged to credit card
(Form 2038 enclosed)

8. Deposit account number:

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Robert S. Green
Name of Person Signing
SignatureJune 18, 2004
Date

Total number of pages including cover sheet, attachments, and documents: 3

ASSIGNMENT

WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in

GAMING MACHINE

for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, **ARUZE Corporation**, a Japanese corporation with offices at **3-1-25 Ariake, Koto-ku, Tokyo 135-0063 JAPAN**, (hereinafter referenced as ASSIGNEES) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of Ten Dollar (\$10.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEES, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEES, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEES or its designee, as ASSIGNEES or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEES will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request my attorney(s) of record in this application to insert the serial number and filing date of this application in the spaces that follow:

Serial Number: , Filing Date: **October 31, 2003.**

This assignment executed on the dates indicated below.

<u>Takahiro ONISHI</u>	<u>Takahiro Onishi</u>	<u>Feb. 26. 2004</u>
	Signature	Date

<u>Hidetaka YAMAMOTO</u>	<u>Hidetaka Yamamoto</u>	<u>Feb. 26. 2004</u>
	Signature	Date

<u>Kazuaki ABE</u>	<u>kazuaki abe</u>	<u>Feb. 26. 2004</u>
	Signature	Date

_____	_____	_____
	Signature	Date

_____	_____	_____
	Signature	Date